

Lundbeck Inc.



Confidential Fax

To:	Mary Till	Date:	November 19, 2009
Company:	USPTO	From:	Paul Reinfelds
Phone #		Phone #:	847-282-5794
Fax #:	571-273-7755	Fax #:	847-282-1059
RE:	SB-96	# of Pages:	6 (including this page)

Message:

Ms. Till,

Please find enclosed Form PTO-SB-96 along with the chain of title.

Sincerely,

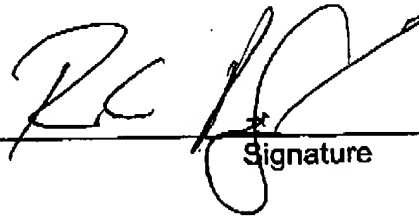
A handwritten signature in black ink, appearing to read "P. Reinfelds", written in a cursive, stylized script.

Paul Reinfelds

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on 19 November 2009
Date



Signature

Paul Reinfelds

Typed or printed name of person signing Certificate

64,539

Registration Number, if applicable

847-282-5794

Telephone Number

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Lundbeck Inc.

Application No./Patent No.: 5,380,936 Filed/Issue Date: January 10, 1995

Titled: PROCESS FOR PREPARING 4-AMINO-5-HEXENOIC ACID

Lundbeck Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

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3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Patrick J. Morris
Signature

19 NOVEMBER 2009

Date

Patrick J. Morris

VP-Legal Affairs & Gen. Coun

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner



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**NOTE: Results display only for issued patents and published applications.
For pending or abandoned applications please consult USPTO staff.**

Total Assignments: 9

Patent #: 5380936

Issue Dt: 01/10/1995

Application #: 08184762

Filing Dt: 01/19/1994

Inventor: PATRICK CASARA

Title: NOVEL PROCESS FOR PREPARING 4-AMINO-5-HEXENOIC ACID

Assignment: 1

Reel/Frame: 020497/0674

Recorded: 02/13/2008

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: CASARA, PATRICK

Exec Dt: 12/17/1991

Assignee: MERRELL DOW PHARMACEUTICALS INC.

2110 EAST GALBRAITH ROAD

P.O. BOX 156300

CINCINNATI, OHIO 45215-6300

Correspondent: KATTEN MUCHIN ROSENMAN LLP
1025 THOMAS JEFFERSON ST., N.W.
EAST LOBBY, SUITE 700
WASHINGTON, DC 20007

Assignment: 2

Reel/Frame: 014022/0527

Recorded: 10/03/2003

Pages: 4

Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

Assignor: MERRELL DOW PHARMACEUTICALS INC.

Exec Dt: 09/15/1995

Assignee: MERRELL PHARMACEUTICALS INC.

300 SOMERSET CORPORATE BLVD.

BRIDGEWATER, NEW JERSEY 08807-2854

Correspondent: AVENTIS PHARMACEUTICALS INC.
BALARAM GUPTA
ROUTE 202-206/P.O. BOX 6800
BRIDGEWATER, NJ 08807-0800

Assignment: 3

Reel/Frame: 014475/0329

Recorded: 03/31/2004

Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: MERRELL PHARMACEUTICALS, INC.

Exec Dt: 03/29/2004

Assignee: OVATION PHARMACEUTICALS, INC.

FOUR PARKWAY NORTH

DEERFIELD, ILLINOIS 60015

Correspondent: KATTEN MUCHIN ZAVIS ROSENMAN
GILBERTO M. VILLACORTA
1025 THOMAS JEFFERSON ST., NW
EAST LOBBY, SUITE 700
WASHINGTON, DC 20007

Assignment: 4

Reel/Frame: 016153/0943

Recorded: 01/25/2005

Pages: 8

Conveyance: SECURITY INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: Ovation Pharmaceuticals, Inc.

Exec Dt: 03/29/2004

Assignee: LASALLE BANK NATIONAL ASSOCIATION

135 S. LASALLE STREET
CHICAGO, ILLINOIS 60603

Correspondent: ANDREW H. CONNOR, ESQ.

ANNE K. BERG, ESQ.
SUITE 2700
180 N. LASALLE STREET
CHICAGO, IL 60601

Assignment: 5

Reel/Frame: 016418/0175

Recorded: 08/18/2005

Pages: 6

Conveyance: SECURITY AGREEMENT

Assignor: Ovation Pharmaceuticals, Inc.

Exec Dt: 08/10/2005

Assignee: MERRILL LYNCH CAPITAL, A DIVISION OF MERRILL LYNCH BUSINESS FINANCIAL SERVICES, INC.

222 N. LASALLE STREET
16TH FLOOR
CHICAGO, ILLINOIS 60601

Correspondent: SAMUEL W. APICELLI

ONE LIBERTY PLACE
PHILADELPHIA, PA 19103-7396

Assignment: 6

Reel/Frame: 018679/0933

Recorded: 12/26/2006

Pages: 22

Conveyance: SECURITY AGREEMENT

Assignor: Ovation Pharmaceuticals, Inc.

Exec Dt: 12/22/2006

Assignee: MERRILL LYNCH CAPITAL, A DIVISION OF MERRILL LYNCH BUSINESS FINANCIAL SERVICES, INC.

222 N. LASALLE STREET
16TH FLOOR
CHICAGO, ILLINOIS 60601

Correspondent: MAGDALINI RIZAKOS

C/O LATHAM & WATKINS
SUITE 5800
233 S. WACKER DRIVE
CHICAGO, IL 60606

Assignment: 7

Reel/Frame: 019881/0358

Recorded: 09/26/2007

Pages: 5

Conveyance: RELEASE BY SECURED PARTY (SEE DOCUMENT FOR DETAILS).

Assignor: LASALLE BANK NATIONAL ASSOCIATION

Exec Dt: 09/07/2007

Assignee: Ovation Pharmaceuticals, Inc.

FOUR PARKWAY NORTH
DEERFIELD, ILLINOIS 60015

Correspondent: KATTEN MUCHIN ROSENMAN LLP

1025 THOMAS JEFFERSON ST., #700
WASHINGTON, DC 20007

Assignment: 8

Reel/Frame: 022416/0565

Recorded: 03/19/2009

Pages: 6

Conveyance: RELEASE BY SECURED PARTY (SEE DOCUMENT FOR DETAILS).

Assignor: GE BUSINESS FINANCIAL SERVICES INC. FORMERLY KNOWN AS
MERRILL LYNCH CAPITAL, A DIVISION OF MERRILL LYNCH
BUSINESS FINANCIAL SERVICES INC.

Exec Dt: 03/18/2009

Assignee: Ovation Pharmaceuticals, Inc.

FOUR PARKWAY NORTH; SUITE 200
DEERFIELD, ILLINOIS 60015

Correspondent: CATHERINE R. HOWELL, SENIOR PARALEGAL

1111 PENNSYLVANIA AVE., N.W.; ATTN: TMSU

MORGAN, LEWIS & BOCKIUS LLP
WASHINGTON, DC 20004

Assignment: 9

Reel/Frame: 023163/0803

Recorded: 09/01/2009

Pages: 2

Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

Assignor: Ovation Pharmaceuticals, Inc.

Exec Dt: 03/20/2009

Assignee: Lundbeck Inc.

FOUR PARKWAY NORTH
LEGAL DEPARTMENT
DEERFIELD, ILLINOIS 60015

Correspondent: CHARLES R. KRIKORIAN
FOUR PARKWAY NORTH
INTELLECTUAL PROPERTY DEPARTMENT
DEERFIELD, IL 60015

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Web interface last modified: October 18, 2008 v.2.0.2

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